OneComply

SourceSentry

Risk and Compliance Management Solution

Overview

SourceSentry's OneComply™ is an easy to use software solution that allows organizations to manage their risk and compliance activities using industry best practices. The software simplifies risk management by providing a web dashboard view of how well your organization or vendor or business unit complies to business process and internal controls. It pin-points business units that may be at risk of non-compliance. This allows enterprises to easily assess the various strengths and weaknesses of their compliance. Roles based access control improves productivity of compliance teams.

Industry Solutions

Each day presents increasing demands for ways to track, measure, and assess compliance with various legal mandates for risk management, data security and privacy. By automating the process of assessing compliance, tracking activities and measuring internal controls, organizations will able to efficiently meet rules and standards consistently across the entire enterprise. OneComply empowers executives to enforce accountability for compliance and equips them with a platform that promotes compliance management best practices.

OneComply provides out-of-the-box industry solutions for :

- Financial and Banking Industries
- Healthcare
- Energy and Utilities

The Benefits to Gain

Reduce Cost of Compliance— Users are able to automate their compliance tasks so that they can focus on remediation of the discovered defects instead of how and when to perform compliance assessments.

Delegate Control Activities

OneComply provides a simple way to delegate internal control activities to employees within the organization who have a compliance related role. This helps set the accountability for mandates.

Roles Based Access Control

The software supports various roles such as administrator, assessor, read-only viewer, report viewer etc., this improves security control and eases user management.

Work flow enabled

OneComply orchestrates compliance activities across the owners of specific control activities, providing tracking measurements including email notifications to compliance team members

Convenient Delivery Models - OneComply is offered to organizations in three ways:

- *Deploy within your enterprise*— As a standard web application. OneComply offers easy deployment, administration and management.

OR

- *Managed Service*—SourceSentry has partnered with a top tier SAS70 certified provider to provide secure and reliable OneComply On-Demand service. This is zero deployment, zero IT support and zero hardware costs for you.

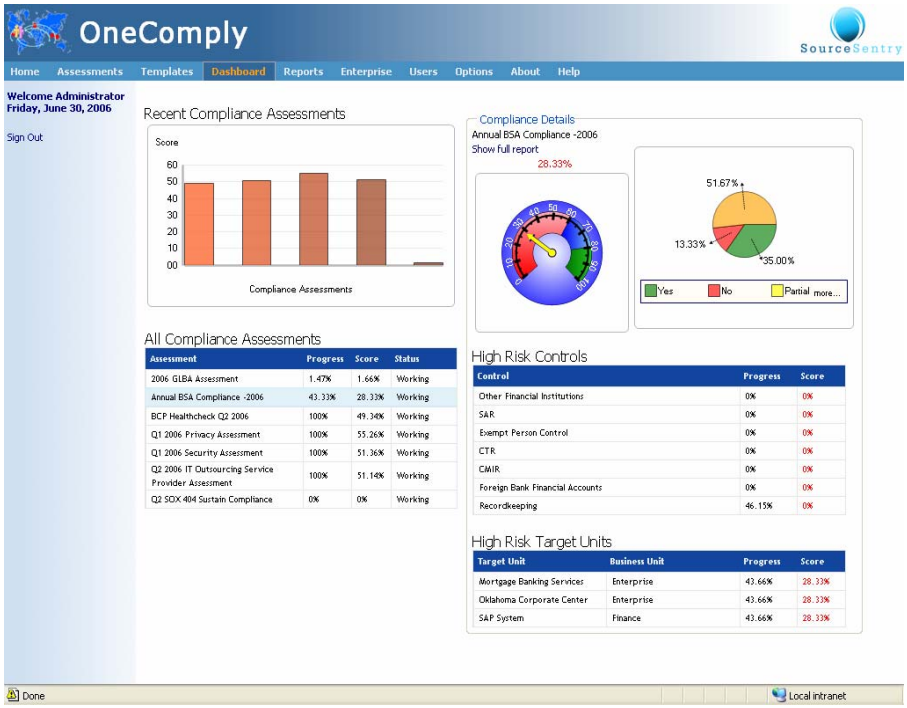
OR

- *Personal Edition*— Deploy on Laptop/desktop, for individual use.

Prevent repeated questioning

Using the regulation content and standards that come with OneComply, you can create your own compliance standard to satisfy multiple legal or contractual requirements. Imagine not having to ask the people the same question repeatedly for different needs. For example a single assessment could be created to handle both privacy and security or risk management requirements.

Executive Dashboards & Reports - OneComply offers comprehensive reporting. Dashboards provide high risk controls and business units. Detailed roll-up reports are included to provide auditors with reports of each item out of compliance. Reports can be exported to a variety of standard formats. Historical trending reports gives an ability to visualize improvements over time.



Executives can see progress and status of their compliance programs

SourceSentry, Inc.
16365 Park Ten Place, Suite 325, Houston, TX 77084, USA
Web: www.sourcesentry.com, Phone : 281-646-7112
Email: sales@sourcesentry.com

Data Sheet

Key Features

- Extensive regulatory compliance content
- Executive Dashboards
- Design internal controls to suit your needs
- Audit-Ready solution — all compliance documentation in a secure repository.
- Perform assessments for a single system, business unit or a multiple sites
- Email integrated workflow
- Track progress of internal control activities
- Use a benchmark score to provide a single measurement of compliance status
- Delegate compliance activities across roles
- Compare compliance programs over time to seek improvements to risk management
- Flexible deployment options (intranet or internet)

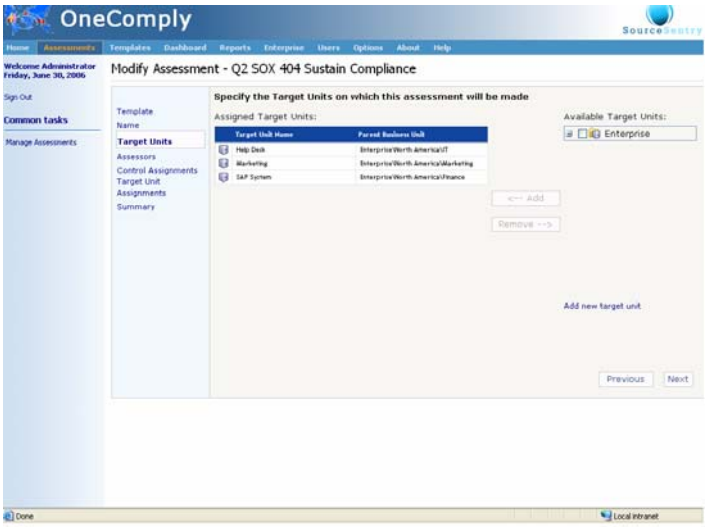
Easy to understand reports pin-point the problem areas

Control	Reference	Score	L1	L2	L3	L4	L5
to/from an Individual's Computer							
4 Collection		48.00%	80%	47.27%	45.45%	38.18%	29.09%
4.1 Collection Policies		36.00%		20%	20%	20%	20%
4.2 Communication to Individuals		64.00%		60%	60%	60%	40%
4.3 Types of Personal Information Collected and Methods of Collection		52.00%		50%	50%	40%	20%
4.4 Collection Limited to Identified Purpose		46.00%		40%	35%	30%	25%
4.5 Collection by Fair and Lawful Means		76.00%		80%	80%	60%	60%
4.6 Collection from Third Parties		54.00%		50%	50%	40%	30%
5 Use & Retention		31.56%	20%	44.44%	35.56%	33.33%	24.44%
5.1 Use & Retention Policies		68.00%		60%	60%	60%	60%
5.2 Communication to Individuals		46.00%		50%	40%	30%	10%
5.3 Use of Personal Information		38.00%		30%	20%	20%	20%
5.4 Retention of Personal Information		48.00%		45%	35%	35%	25%

About SourceSentry

SourceSentry is an innovative provider of compliance process management solutions that helps organizations ensure the success of their compliance to many regulations. OneComply software solution reduces the risk and costs associated with complying to multiple regulations by providing an integrated platform for the orchestration of compliance activities across business owner and their units or departments

Step by Step interface allows you to delegate control activities



Regulations Supported Out-of-the-box

SourceSentry has extensive knowledge and experience working with the various regulations, frameworks and best practices. The following industry specific laws are covered and supported in the product :

Financial and Banking Industries:
SOX 404, FFIEC, Bank Secrecy Act, AML, GLBA, PCI, Data Privacy, NASD 3xxx, NASD-2002-108, ISO 17799, CA 1386

Healthcare & Medical
SOX 404, HIPAA, Data Privacy, ISO 17799, PCI, CA 1386

Energy and Utilities
SOX 404, NERC CIP, Data Privacy, ISO 17799, PCI, CA 1386

If you don't find a specific legal mandate covered for your organization, give us a call and our experts can work to provide the content.

System Requirements

Depending on the method you choose for OneComply to be delivered in your enterprise:

Deployed within Enterprise

Server

Supported Windows Versions:
- Windows 2000 SP4 any Server edition or
- Windows 2003 SP1 any Server edition

Windows Components:
- IIS 5.0, or IIS 5.1, or IIS 6.0
- .NET Framework 2.0

Database
- SQL Server 2000 SP3a or MSDE 2000 SP3a (only for small scaled down usage) or above

Client
- Internet Explorer 6.0 or above

OR

Managed Service

Client
- Internet Explorer 6.0 or above

SourceSentry, Inc.
16365 Park Ten Place, Suite 325, Houston, TX 77084, USA
Web: www.sourcesentry.com, Phone : 281-646-7112
Email: sales@sourcesentry.com

Data Sheet

OneComply, SourceSentry and the SourceSentry logo are trademarks of SourceSentry, Inc. or its subsidiaries in the United States and other jurisdictions. All other company and product names may be trademarks or registered trademarks of their respective companies.

© 2005 SourceSentry Inc., all rights reserved.